

# Existence and multiplicity of solutions for double-phase Robin problems

Nikolaos S. Papageorgiou, Vicențiu D. Rădulescu and Dušan D. Repovš

## ABSTRACT

We consider a double phase Robin problem with a Carathéodory nonlinearity. When the reaction is superlinear but without satisfying the Ambrosetti–Rabinowitz condition, we prove an existence theorem. When the reaction is resonant, we prove a multiplicity theorem. Our approach is Morse theoretic, using the notion of homological local linking.

## 1. Introduction

Let  $\Omega \subseteq \mathbb{R}^N$  be a bounded domain with Lipschitz boundary  $\partial\Omega$ . In this paper, we study the following two phase Robin problem

$$\left\{ \begin{array}{ll} -\operatorname{div}(a_0(z)|Du|^{p-2}Du) - \Delta_q u + \xi(z)|u|^{p-2}u = f(z, u) & \text{in } \Omega \\ \frac{\partial u}{\partial n_\theta} + \beta(z)|u|^{p-2}u = 0 & \text{on } \partial\Omega, \end{array} \right\} \quad (1)$$

where  $1 < q < p \leq N$ .

In this problem, the weight  $a_0 : \overline{\Omega} \rightarrow \mathbb{R}$  is Lipschitz continuous and  $a_0(z) > 0$  for all  $z \in \Omega$ . The potential function  $\xi \in L^\infty(\Omega)$  satisfies  $\xi(z) \geq 0$  for a.a.  $z \in \Omega$ , while the reaction term  $f(z, x)$  is Carathéodory (that is, for all  $x \in \mathbb{R}$ , the mapping  $z \mapsto f(z, x)$  is measurable and for a.a.  $z \in \Omega$  the function  $x \mapsto f(z, x)$  is continuous; the abbreviation ‘a.a.’ stands for ‘almost all’). Let  $F(z, \cdot)$  be the primitive of  $f(z, \cdot)$ , that is,  $F(z, x) = \int_0^x f(z, s)ds$ . We assume that for a.a.  $z \in \Omega$ ,  $F(z, \cdot)$  is  $q$ -linear near the origin. On the other hand, near  $\pm\infty$ , we consider two distinct cases for  $f(z, \cdot)$ .

(i) For a.a.  $z \in \Omega$ ,  $f(z, \cdot)$  is  $(p-1)$ -superlinear but without satisfying the Ambrosetti–Rabinowitz condition (the AR-condition for short), which is common in the literature when dealing with superlinear problems.

(ii) For a.a.  $z \in \Omega$ ,  $f(z, \cdot)$  is  $(p-1)$ -linear and possibly resonant with respect to the principal eigenvalue of the weighted  $p$ -Laplacian

$$u \mapsto -\operatorname{div}(a_0(z)|Du|^{p-2}Du)$$

with Robin boundary condition.

In the boundary condition,  $\frac{\partial u}{\partial n_\theta}$  denotes the conormal derivative of  $u$  corresponding to the modular function  $\theta(z, x) = a_0(z)x^p + x^q$  for all  $z \in \Omega$ , all  $x \geq 0$ . We interpret this derivative via the nonlinear Green identity (see [18, p. 34]) and

$$\frac{\partial u}{\partial n_\theta} = [a_0(z)|Du|^{p-2} + |Du|^{q-2}] \frac{\partial u}{\partial n} \text{ for all } u \in C^1(\overline{\Omega}),$$

---

Received 12 February 2020; revised 4 April 2020; published online 16 May 2020.

2010 *Mathematics Subject Classification* 35J20 (primary), 35J25, 35J60 (secondary).

This research was supported by the Slovenian Research Agency grants P1-0292, J1-8131, N1-0114, N1-0064, and N1-0083.

© 2020 The Authors. The publishing rights in this article are licensed to the London Mathematical Society under an exclusive licence.

with  $n(\cdot)$  being the outward unit normal on  $\partial\Omega$ . The boundary coefficient  $\beta$  satisfies  $\beta \in C^{0,\alpha}(\partial\Omega)$  with  $0 < \alpha < 1$  and  $\beta(z) \geq 0$  for all  $z \in \partial\Omega$ .

The differential operator in problem (1) is a weighted  $(p, q)$ -Laplace operator and it corresponds to the energy functional

$$u \mapsto \int_{\Omega} [a_0(z)|Du|^p + |Du|^q] dz.$$

Since we do not assume that the weight function  $a_0(z)$  is bounded away from zero, the continuous integrand  $\theta_0 : \Omega \times \mathbb{R}^N \rightarrow \mathbb{R}_+$  of this integral functional exhibits unbalanced growth, namely

$$|y|^q \leq \theta_0(z, y) \leq c_0(1 + |y|^p) \text{ for a.a. } z \in \Omega, \text{ all } y \in \mathbb{R}^N \text{ and some } c_0 > 0.$$

Such integral functionals were first investigated by Marcellini [14] and Zhikov [22], in connection with problems in nonlinear elasticity theory. Recently, Baroni, Colombo and Mingione [3] and Colombo and Mingione [6, 7] revived the interest in them and produced important local regularity results for the minimizers of such functionals. A global regularity theory for such problems remains elusive.

In this paper, using tools from Morse theory (in particular, critical groups), we prove an existence theorem (for the superlinear case) and a multiplicity theorem (for the linear resonant case). Existence and multiplicity results for two phase problems were proved recently by Cencelj, Rădulescu and Repovš [4] (problems with variable growth), Colasuonno and Squassina [5] (eigenvalue problems), Liu and Dai [13] (existence of solutions for problems with superlinear reaction), Papageorgiou, Rădulescu and Repovš [19] (multiple solutions for superlinear problems), and Papageorgiou, Vetro and Vetro [20] (parametric Dirichlet problems). The approach in all the aforementioned works is different and the hypotheses on the reaction are more restrictive.

Finally, we mention that  $(p, q)$ -equations arise in many mathematical models of physical processes. We refer to the very recent works of Bahrouni, Rădulescu and Repovš [1, 2] and the references therein.

## 2. Mathematical background

The study of two-phase problems requires the use of Musielak–Orlicz spaces. So, let  $\theta : \Omega \times \mathbb{R}_+ \rightarrow \mathbb{R}_+$  be the modular function defined by

$$\theta(z, x) = a_0(z)x^p + x^q \text{ for all } z \in \Omega, x \geq 0.$$

This is a generalized N-function (see Musielak [16]) and it satisfies

$$\theta(z, 2x) \leq 2^p \theta(z, x) \text{ for all } z \in \Omega, x \geq 0,$$

that is,  $\theta(z, \cdot)$  satisfies the  $(\Delta_2)$ -property (see [16, p. 52]). Using the modular function  $\theta(z, x)$ , we can define the Musielak–Orlicz space  $L^\theta(\Omega)$  as follows:

$$L^\theta(\Omega) = \left\{ u : \Omega \rightarrow \mathbb{R}; u \text{ is measurable and } \int_{\Omega} \theta(z, |u|) dz < \infty \right\}.$$

This space is equipped with the so-called ‘Luxemburg norm’ defined by

$$\|u\|_\theta = \inf \left\{ \lambda > 0 : \int_{\Omega} \theta(z, \frac{|u|}{\lambda}) dz \leq 1 \right\}.$$

Using  $L^\theta(\Omega)$ , we can define the following Sobolev-type space  $W^{1,\theta}(\Omega)$ , by setting

$$W^{1,\theta}(\Omega) = \{ u \in L^\theta(\Omega) : |Du| \in L^\theta(\Omega) \}.$$

We equip  $W^{1,\theta}(\Omega)$  with the norm  $\|\cdot\|$  defined by

$$\|u\| = \|u\|_\theta + \|Du\|_\theta,$$

where  $\|Du\|_\theta = \||Du|\|_\theta$ . The spaces  $L^\theta(\Omega)$  and  $W^{1,\theta}(\Omega)$  are separable and uniformly convex (hence reflexive) Banach spaces.

Let  $\hat{\theta}(z, x)$  be another modular function. We say that ‘ $\hat{\theta}$  is weaker than  $\theta$ ’ and write  $\hat{\theta} \prec \theta$ , if there exist  $c_1, c_2 > 0$  and a function  $\eta \in L^1(\Omega)$  such that

$$\hat{\theta}(z, x) \leq c_1 \theta(z, c_2 x) + \eta(z) \text{ for a.a. } z \in \Omega \text{ and all } x \geq 0.$$

Then we have

$$L^\theta(\Omega) \hookrightarrow L^{\hat{\theta}}(\Omega) \text{ and } W^{1,\theta}(\Omega) \hookrightarrow W^{1,\hat{\theta}}(\Omega) \text{ continuously.}$$

Combining this fact with the classical Sobolev embedding theorem, we obtain the following embeddings; see Propositions 2.15 and 2.18 of Colasuonno and Squassina [5].

PROPOSITION 1. *We assume that  $1 < q < p < \infty$ . Then the following properties hold.*

(a) *If  $q \neq N$ , then  $W^{1,\theta}(\Omega) \hookrightarrow L^r(\Omega)$  continuously for all  $1 \leq r \leq q^*$ , where*

$$q^* = \begin{cases} \frac{Nq}{N-q} & \text{if } q < N \\ +\infty & \text{if } q \geq N. \end{cases}$$

(b) *If  $q = N$ , then  $W^{1,\theta}(\Omega) \hookrightarrow L^r(\Omega)$  continuously for all  $1 \leq r < \infty$ .*

(c) *If  $q \leq N$ , then  $W^{1,\theta}(\Omega) \hookrightarrow L^r(\Omega)$  compactly for all  $1 \leq r < q^*$ .*

(d) *If  $q > N$ , then  $W^{1,\theta}(\Omega) \hookrightarrow L^\infty(\Omega)$  compactly.*

(e)  *$W^{1,\theta}(\Omega) \hookrightarrow W^{1,q}(\Omega)$  continuously.*

We have

$$L^p(\Omega) \hookrightarrow L^\theta(\Omega) \hookrightarrow L_{a_0}^p(\Omega) \cap L^q(\Omega)$$

with both embeddings being continuous.

We consider the modular function

$$\rho_\theta(u) = \int_\Omega \theta(z, |Du|) dz = \int_\Omega [a_0(z)|Du|^p + |Du|^q] dz \text{ for all } u \in W^{1,\theta}(\Omega).$$

There is a close relationship between the norm  $\|\cdot\|$  of  $W^{1,\theta}(\Omega)$  and the modular functional  $\rho_\theta(\cdot)$ ; see Proposition 2.1 of Liu and Dai [13].

PROPOSITION 2. (a) *If  $u \neq 0$ , then  $\|Du\|_\theta = \lambda$  if and only if  $\rho_\theta(\frac{u}{\lambda}) \leq 1$ .*

(b)  *$\|Du\|_\theta < 1$  (respectively,  $= 1, > 1$ ) if and only if  $\rho_\theta(u) < 1$  (respectively,  $= 1, > 1$ ).*

(c) *If  $\|Du\|_\theta < 1$ , then  $\|Du\|_\theta^p \leq \rho_\theta(u) \leq \|Du\|_\theta^q$ .*

(d) *If  $\|Du\|_\theta > 1$ , then  $\|Du\|_\theta^q \leq \rho_\theta(u) \leq \|Du\|_\theta^p$ .*

(e)  *$\|Du\|_\theta \rightarrow 0$  if and only if  $\rho_\theta(u) \rightarrow 0$ .*

(f)  *$\|Du\|_\theta \rightarrow +\infty$  if and only if  $\rho_\theta(u) \rightarrow +\infty$ .*

On  $\partial\Omega$  we consider the  $(N-1)$ -dimensional Hausdorff (surface) measure  $\sigma(\cdot)$ . Using this measure, we can define in the usual way the ‘boundary’ Lebesgue spaces  $L^s(\partial\Omega)$  for  $1 \leq s \leq \infty$ . It is well known that there exists a unique continuous linear map  $\gamma_0 : W^{1,q}(\Omega) \rightarrow L^q(\partial\Omega)$ , known as the ‘trace map’, such that

$$\gamma_0(u) = u|_{\partial\Omega} \text{ for all } u \in W^{1,q}(\Omega) \cap C(\overline{\Omega}).$$

We have

$$\operatorname{im} \gamma_0 = W^{\frac{1}{q'}, q}(\Omega) \left( \frac{1}{q} + \frac{1}{q'} = 1 \right) \text{ and } \ker \gamma_0 = W_0^{1, q}(\Omega).$$

Moreover, the trace map  $\gamma_0(\cdot)$  is compact into  $L^s(\partial\Omega)$  for all  $1 \leq s < (N-1)q/(N-q)$  if  $q < N$ , and for all  $1 \leq s < \infty$  if  $q \geq N$ . In what follows, for the sake of notational simplicity, we drop the use of the trace map  $\gamma_0(\cdot)$ . All restrictions of the Sobolev functions on the boundary  $\partial\Omega$  are understood in the sense of traces.

Let  $\langle \cdot, \cdot \rangle$  denote the duality brackets for the pair  $(W^{1, \theta}(\Omega), W^{1, \theta}(\Omega)^*)$  and  $\langle \cdot, \cdot \rangle_{1, q}$  denote the duality brackets for the pair  $(W^{1, q}(\Omega), W^{1, q}(\Omega)^*)$ . We introduce the maps  $A_p^{a_0} : W^{1, \theta}(\Omega) \rightarrow W^{1, \theta}(\Omega)^*$  and  $A_q : W^{1, q}(\Omega) \rightarrow W^{1, q}(\Omega)^*$  defined by

$$\begin{aligned} \langle A_p^{a_0}(u), h \rangle &= \int_{\Omega} a_0(z) |Du|^{p-2} (Du, Dh)_{\mathbb{R}^N} dz \text{ for all } u, h \in W^{1, \theta}(\Omega), \\ \langle A_q(u), h \rangle_{1, q} &= \int_{\Omega} |Du|^{q-2} (Du, Dh)_{\mathbb{R}^N} dz \text{ for all } u, h \in W^{1, q}(\Omega). \end{aligned}$$

We have

$$\langle A_q(u), h \rangle_{1, q} = \langle A_q(u), h \rangle \text{ for all } u, h \in W^{1, \theta}(\Omega).$$

We introduce the following hypotheses on the weight  $a_0(\cdot)$  and on the coefficients  $\xi(\cdot)$  and  $\beta(\cdot)$ .

$H_0$ :  $a_0 : \overline{\Omega} \rightarrow \mathbb{R}$  is Lipschitz continuous,  $a_0(z) > 0$  for all  $z \in \Omega$ ,  $\xi \in L^\infty(\Omega)$ ,  $\xi(z) \geq 0$  for a.a.  $z \in \Omega$ ,  $\beta \in C^{0, \alpha}(\partial\Omega)$  with  $0 < \alpha < 1$ ,  $\xi \not\equiv 0$  or  $\beta \not\equiv 0$  and  $q > Np/(N+p-1)$ .

REMARK 1. The latter condition on the exponent  $q$  implies that  $W^{1, \theta}(\Omega) \hookrightarrow L^p(\partial\Omega)$  compactly and  $q < p^*$ .

We introduce the  $C^1$ -functional  $\gamma_p : W^{1, \theta}(\Omega) \rightarrow \mathbb{R}$  defined by

$$\gamma_p(u) = \int_{\Omega} a_0(z) |Du|^p dz + \int_{\Omega} \xi(z) |u|^p dz + \int_{\partial\Omega} \beta(z) |u|^p d\sigma \text{ for all } u \in W^{1, \theta}(\Omega).$$

Then hypotheses  $H_0$ , Lemma 4.11 of Mugnai and Papageorgiou [15], and Proposition 2.4 of Gasinski and Papageorgiou [10], imply

$$c_1 \|u\|^p \leq \gamma_p(u) \text{ for some } c_1 > 0, \text{ all } u \in W^{1, \theta}(\Omega). \quad (2)$$

We denote by  $\hat{\lambda}_1(p)$  the first (principal) eigenvalue of the following nonlinear eigenvalue problem

$$\begin{cases} -\operatorname{div}(a_0(z) |Du|^{p-2} Du) + \xi(z) |u|^{p-2} u = \hat{\lambda} |u|^{p-2} u & \text{in } \Omega \\ \frac{\partial u}{\partial n_p} + \beta(z) |u|^{p-2} u = 0 & \text{on } \partial\Omega. \end{cases} \quad (3)$$

Here,  $\frac{\partial u}{\partial n_p} = |Du|^{p-2} \frac{\partial u}{\partial n}$ . The eigenvalue  $\hat{\lambda}_1(p)$  has the following variational characterization

$$\hat{\lambda}_1(p) = \inf \left\{ \frac{\gamma_p(u)}{\|u\|_p^p} : u \in W^{1, p}(\Omega) \setminus \{0\} \right\} \text{ (see [17]).} \quad (4)$$

Then by (2), we see that  $\hat{\lambda}_1(p) > 0$ . This eigenvalue is simple (that is, if  $\hat{u}, \hat{v}$  are corresponding eigenfunctions, then  $\hat{u} = \eta \hat{v}$  with  $\eta \in \mathbb{R} \setminus \{0\}$ ) and isolated (that is, if  $\hat{\sigma}(p)$  denotes the spectrum of (3), then we can find  $\varepsilon > 0$  such that  $(\hat{\lambda}_1(p), \hat{\lambda}_1(p) + \varepsilon) \cap \hat{\sigma}(p) = \emptyset$ ). The infimum in (4) is realized on the corresponding one-dimensional eigenspace, the elements of which have fixed

sign. We denote by  $\hat{u}_1(p)$  the corresponding positive,  $L^p$ -normalized (that is,  $\|\hat{u}_1(p)\|_p = 1$ ) eigenfunction. We know that  $\hat{u}_1(p) \in L^\infty(\Omega)$  (see [5, Section 3.2]) and  $\hat{u}_1(p)(z) > 0$  for a.a.  $z \in \Omega$  (see [19, Proposition 4]).

We will also use the spectrum of the following nonlinear eigenvalue problem

$$-\Delta_q u = \hat{\lambda}|u|^{q-2}u \text{ in } \Omega, \quad \frac{\partial u}{\partial n} = 0 \text{ on } \partial\Omega.$$

It is well known that this problem has a sequence of variational eigenvalues  $\{\hat{\lambda}_k(q)\}_{k \geq 1}$  such that  $\hat{\lambda}_k(q) \rightarrow +\infty$  as  $k \rightarrow \infty$ . We have  $\hat{\lambda}_1(q) = 0 < \hat{\lambda}_2(q)$  (see [9, Section 6.2]).

Let  $X$  be a Banach space and  $\phi \in C^1(X, \mathbb{R})$ . We denote by  $K_\phi$  the critical set of  $\phi$ , that is,

$$K_\phi = \{u \in X : \phi'(u) = 0\}.$$

Also, if  $\eta \in \mathbb{R}$ , then we set

$$\phi^\eta = \{u \in X : \phi(u) \leq \eta\}.$$

Consider a topological pair  $(A, B)$  such that  $B \subseteq A \subseteq X$ . Then for every  $k \in \mathbb{N}_0$ , we denote by  $H_k(A, B)$  the  $k$ th-singular homology group for the pair  $(A, B)$  with coefficients in a field  $\mathbb{F}$  of characteristic zero (for example,  $\mathbb{F} = \mathbb{R}$ ). Then each  $H_k(A, B)$  is an  $\mathbb{F}$ -vector space and we denote by  $\dim H_k(A, B)$  its dimension. We also recall that the homeomorphisms induced by maps of pairs and the boundary homomorphism  $\partial$ , are all  $\mathbb{F}$ -linear.

Suppose that  $u \in K_\phi$  is isolated. Then for every  $k \in \mathbb{N}_0$ , we define the ‘ $k$ -critical group’ of  $\phi$  at  $u$  by

$$C_k(\phi, u) = H_k(\phi^c \cap U, \phi^c \cap U \setminus \{u\}),$$

where  $U$  is an isolating neighborhood of  $u$ , that is,  $K_\phi \cap U \cap \phi^c = \{u\}$ . The excision property of singular homology implies that this definition is independent of the choice of the isolating neighborhood  $U$ .

We say that  $\phi$  satisfies the ‘C-condition’ if it has the following property:

‘Every sequence  $\{u_n\}_{n \geq 1} \subseteq X$  such that  $\{\phi(u_n)\}_{n \geq 1} \subseteq \mathbb{R}$  is bounded and  $(1 + \|u_n\|)\phi'(u_n) \rightarrow 0$  in  $X^*$  as  $n \rightarrow \infty$ , has a strongly convergent subsequence’.

Suppose that  $\phi \in C^1(X, \mathbb{R})$  satisfies the C-condition and that  $\inf \phi(K_\phi) > -\infty$ . Let  $c < \inf \phi(K_\phi)$ . Then the critical groups of  $\phi$  at infinity are defined by

$$C_k(\phi, \infty) = H_k(X, \phi^c) \text{ for all } k \in \mathbb{N}_0.$$

On account of the second deformation theorem (see [18, Theorem 5.3.12, p. 386]) this definition is independent of the choice of the level  $c < \inf \phi(K_\phi)$ .

Our approach is based on the notion of local  $(m, n)$ -linking ( $m, n \in \mathbb{N}$ ), sees [18, Definition 6.6.13, p. 534].

**DEFINITION 3.** Let  $X$  be a Banach space,  $\phi \in C^1(X, \mathbb{R})$ , and 0 an isolated critical point of  $\phi$  with  $\phi(0) = 0$ . Let  $m, n \in \mathbb{N}$ . We say that  $\phi$  has a ‘local  $(m, n)$ -linking’ near the origin if there exist a neighborhood  $U$  of 0 and nonempty sets  $E_0$ ,  $E \subseteq U$ , and  $D \subseteq X$  such that  $0 \notin E_0 \subseteq E$ ,  $E_0 \cap D = \emptyset$  and

- (a) 0 is the only critical point of  $\phi$  in  $\phi^0 \cap U$ ;
- (b)  $\dim \operatorname{im} i_* - \dim \operatorname{im} j_* \geq n$ , where

$$i_* : H_{m-1}(E_0) \rightarrow H_{m-1}(X \setminus D) \text{ and } j_* : H_{m-1}(E_0) \rightarrow H_{m-1}(E)$$

are the homomorphisms induced by the inclusion maps  $i : E_0 \rightarrow X \setminus D$  and  $j : E_0 \rightarrow E$ ;

- (c)  $\phi|_E \leq 0 < \phi|_{U \cap D \setminus \{0\}}$ .

REMARK 2. The notion of ‘local  $(m, n)$ -linking’ was introduced by Perera [21] as a generalization of the concept of local linking due to Liu [12]. Here we introduce a slightly more general version of this notion.

### 3. The superlinear case

In this section, we treat the superlinear case, that is, we assume that the reaction  $f(z, \cdot)$  exhibits  $(p - 1)$ -superlinear growth near  $\pm\infty$ .

The hypotheses on  $f(z, x)$  are the following.

$H_1$ :  $f : \Omega \times \mathbb{R} \rightarrow \mathbb{R}$  is a Carathéodory function such that  $f(z, 0) = 0$  for a.a.  $z \in \Omega$  and

- (i)  $|f(z, x)| \leq \hat{a}(z)(1 + |x|^{r-1})$  for a.a.  $z \in \Omega$  and all  $x \in \Omega$ , with  $\hat{a} \in L^\infty(\Omega)$ ,  $p < r < q^*$ ;
- (ii) if  $F(z, x) = \int_0^x f(z, s)ds$ , then  $\lim_{x \rightarrow \pm\infty} \frac{F(z, x)}{|x|^p} = +\infty$  uniformly for a.a.  $z \in \Omega$ ;
- (iii) if  $\eta(z, x) = f(z, x)x - pF(z, x)$ , then there exists  $e \in L^1(\Omega)$  such that

$$\eta(z, x) \leq \eta(z, y) + e(z) \text{ for a.a. } z \in \Omega \text{ and all } 0 \leq x \leq y \text{ or } y \leq x \leq 0;$$

- (iv) there exist  $\delta > 0$ ,  $\theta \in L^\infty(\Omega)$  and  $\hat{\lambda} > 0$  such that

$$0 \leq \theta(z) \text{ for a.a. } z \in \Omega, \theta \neq 0, \hat{\lambda} \leq \hat{\lambda}_2(q),$$

$$\theta(z)|x|^q \leq qF(z, x) \leq \hat{\lambda}|x|^q \text{ for a.a. } z \in \Omega \text{ and all } |x| \leq \delta.$$

REMARK 3. Evidently, hypotheses  $H_1$ (ii) and (iii) imply that for a.a.  $z \in \Omega$ , the function  $f(z, \cdot)$  is superlinear. However, to express this superlinearity, we do not invoke the usual AR-condition. We recall that the AR-condition says that there exist  $\tau > p$  and  $M > 0$  such that

$$0 < \tau F(z, x) \leq f(z, x)x \text{ for a.a. } z \in \Omega \text{ and all } |x| \geq M; \text{ and} \quad (5)$$

$$0 < \text{essinf}_\Omega F(\cdot, \pm M). \quad (6)$$

Integrating (5) and using (6), we obtain a weaker condition, namely

$$\begin{aligned} c_2|x|^\tau &\leq F(z, x) \quad \text{for a.a. } z \in \Omega, \text{ all } |x| \geq M \text{ and some } c_2 > 0, \\ \Rightarrow c_3|x|^\tau &\leq f(z, x)x \quad \text{for a.a. } z \in \Omega, \text{ all } |x| \geq M \text{ and with } c_3 = \tau c_2 > 0. \end{aligned}$$

Therefore, the AR-condition implies that, eventually,  $f(z, \cdot)$  has at least  $(\tau - 1)$ -polynomial growth.

In the present work, instead of the AR-condition, we use the quasimonotonicity hypothesis  $H_1$ (iii), which is less restrictive and incorporates in our framework also  $(p - 1)$ -superlinear nonlinearities with slower growth near  $\pm\infty$  (see the examples below). Hypothesis  $H_1$ (iii) is a slight generalization of a condition which can be found in Li and Yang [11]. There are very natural ways to verify the quasimonotonicity condition. So, if there exists  $M > 0$  such that for a.a.  $z \in \Omega$ , either the function

$$x \mapsto \frac{f(z, x)}{|x|^{q-2}x} \text{ is increasing on } x \geq M \text{ and decreasing on } x \leq -M$$

or the mapping

$$x \mapsto \eta(z, x) \text{ is increasing on } x \geq M \text{ and decreasing on } x \leq -M,$$

then hypothesis  $H_1$ (iii) holds.

Hypothesis  $H_1$ (iv) implies that for a.a.  $z \in \Omega$ , the primitive  $F(z, \cdot)$  is  $q$ -linear near 0.

*Examples.* The following functions satisfy hypotheses  $H_1$ . For the sake of simplicity, we drop the  $z$ -dependence:

$$f_1(x) = \begin{cases} \mu|x|^{q-2}x & \text{if } |x| \leq 1 \\ \mu|x|^{r-2}x & \text{if } |x| > 1 \end{cases} \quad (\text{with } 0 < \mu \leq \hat{\lambda}_2(q) \text{ and } p < r < q^*)$$

$$f_2(x) = \begin{cases} \mu|x|^{q-2}x & \text{if } |x| \leq 1 \\ \mu|x|^{p-2}x \ln x + \mu|x|^{\tau-2}x & \text{if } |x| > 1 \end{cases} \quad (\text{with } 0 < \mu \leq \hat{\lambda}_2(q) \text{ and } 1 < \tau < p).$$

Note that only  $f_1$  satisfies the AR-condition, whereas the function  $f_2$  does not satisfy this growth condition.

The energy functional for problem (1) is the  $C^1$ -functional  $\varphi : W^{1,\theta}(\Omega) \rightarrow \mathbb{R}$  defined by

$$\varphi(u) = \frac{1}{p} \gamma_p(u) + \frac{1}{q} \|Du\|_q^q - \int_{\Omega} F(z, u) dz \text{ for all } u \in W^{1,\theta}(\Omega).$$

Next, we show that  $\varphi(\cdot)$  satisfies the C-condition.

**PROPOSITION 4.** *If hypotheses  $H_0, H_1$  hold, then the functional  $\varphi(\cdot)$  satisfies the C-condition.*

*Proof.* We consider a sequence  $\{u_n\}_{n \geq 1} \subseteq W^{1,\theta}(\Omega)$  such that

$$|\varphi(u_n)| \leq c_4 \text{ for some } c_4 > 0 \text{ and all } n \in \mathbb{N}, \quad (7)$$

$$(1 + \|u_n\|)\varphi'(u_n) \rightarrow 0 \text{ in } W^{1,\theta}(\Omega)^* \text{ as } n \rightarrow \infty. \quad (8)$$

From (8), we have

$$\begin{aligned} & \left| \langle A_p^{a_0}(u_n), h \rangle + \langle A_q(u_n), h \rangle + \int_{\Omega} \xi(z)|u_n|^{p-2}u_n h dz + \int_{\partial\Omega} \beta(z)|u_n|^{p-2}u_n h d\sigma \right. \\ & \quad \left. - \int_{\Omega} f(z, u_n) h dz \right| \leq \frac{\varepsilon_n \|h\|}{1 + \|u_n\|}, \end{aligned} \quad (9)$$

for all  $h \in W^{1,\theta}(\Omega)$ , with  $\varepsilon_n \rightarrow 0$ .

In (9), we choose  $h = u_n \in W^{1,\theta}(\Omega)$  and obtain for all  $n \in \mathbb{N}$

$$- \int_{\Omega} a_0(z)|Du_n|^p dz - \|Du_n\|_q^q - \int_{\Omega} \xi(z)|u_n|^p dz - \int_{\partial\Omega} \beta(z)|u_n|^p d\sigma + \int_{\Omega} f(z, u_n)u_n dz \leq \varepsilon_n. \quad (10)$$

Also, by (7) we have for all  $n \in \mathbb{N}$ ,

$$\begin{aligned} & \int_{\Omega} a_0(z)|Du_n|^p dz + \frac{p}{q} \|Du_n\|_q^q + \frac{p}{q} \int_{\Omega} \xi(z)|u_n|^p dz \\ & \quad + \frac{p}{q} \int_{\partial\Omega} \beta(z)|u_n|^p d\sigma - \int_{\Omega} pF(z, u_n) dz \leq pc_4. \end{aligned} \quad (11)$$

We add relations (10) and (11). Since  $q < p$ , we obtain

$$\int_{\Omega} \eta(z, u_n) dz \leq c_5 \text{ for some } c_5 > 0 \text{ and all } n \in \mathbb{N}. \quad (12)$$

*Claim.* The sequence  $\{u_n\}_{n \geq 1} \subseteq W^{1,\theta}(\Omega)$  is bounded.

We argue by contradiction. Suppose that the claim is not true. We may assume that

$$\|u_n\| \rightarrow \infty \text{ as } n \rightarrow \infty. \quad (13)$$

We set  $y_n = u_n/\|u_n\|$  for all  $n \in \mathbb{N}$ . Then  $\|y_n\| = 1$  and so we may assume that

$$y_n \xrightarrow{w} y \text{ in } W^{1,\theta}(\Omega) \text{ and } y_n \rightarrow y \text{ in } L^r(\Omega) \text{ and in } L^p(\partial\Omega), \quad (14)$$

see hypotheses  $H_0$ , Proposition 1, and Remark 1.

We first assume that  $y \not\equiv 0$ . Let

$$\Omega_+ = \{z \in \Omega : y(z) > 0\} \text{ and } \Omega_- = \{z \in \Omega : y(z) < 0\}.$$

Then at least one of these measurable sets has positive Lebesgue measure on  $\mathbb{R}^N$ . We have

$$u_n(z) \rightarrow +\infty \text{ for a.a. } z \in \Omega_+ \text{ and } u_n(z) \rightarrow -\infty \text{ for a.a. } z \in \Omega_-.$$

Let  $\hat{\Omega} = \Omega_+ \cup \Omega_-$  and let  $|\cdot|_N$  denote the Lebesgue measure on  $\mathbb{R}^N$ . We see that  $|\hat{\Omega}|_N > 0$  and on account of hypothesis  $H_1$ (ii), we have

$$\begin{aligned} \frac{F(z, u_n(z))}{\|u_n\|^p} &= \frac{F(z, u_n(z))}{|u_n(z)|^p} |y_n(z)|^p \rightarrow +\infty \text{ for a.a. } z \in \hat{\Omega}, \\ \Rightarrow \int_{\hat{\Omega}} \frac{F(z, u_n(z))}{\|u_n\|^p} dz &\rightarrow +\infty \text{ by Fatou's lemma.} \end{aligned} \quad (15)$$

Hypotheses  $H_1$ (i) and (ii) imply

$$F(z, x) \geq -c_6 \text{ for a.a. } z \in \Omega, \text{ all } x \in \mathbb{R} \text{ and some } c_6 > 0. \quad (16)$$

Thus we obtain

$$\begin{aligned} \int_{\Omega} \frac{F(z, u_n)}{\|u_n\|^p} dz &= \int_{\hat{\Omega}} \frac{F(z, u_n)}{\|u_n\|^p} dz + \int_{\Omega \setminus \hat{\Omega}} \frac{F(z, u_n)}{\|u_n\|^p} dz \\ &\geq \int_{\hat{\Omega}} \frac{F(z, u_n)}{\|u_n\|^p} dz - \frac{c_6 |\Omega|_N}{\|u_n\|^p} \text{ (see (16))}, \\ &\Rightarrow \lim_{n \rightarrow \infty} \int_{\Omega} \frac{F(z, u_n)}{\|u_n\|^p} dz = +\infty \text{ (see (15) and (13))}. \end{aligned} \quad (17)$$

By (7), we have

$$\int_{\Omega} \frac{pF(z, u_n)}{\|u_n\|^p} dz \leq \gamma_p(y_n) + \frac{p}{q} \frac{1}{\|u_n\|^{p-q}} \|Dy_n\|_q^q + \frac{c_4}{\|u_n\|^p} \leq c_7, \quad (18)$$

for some  $c_7 > 0$  and all  $n \in \mathbb{N}$  (see (13) and recall that  $\|y_n\| = 1$ ).

We compare relations (15) and (18) and arrive at a contradiction.

Next, we assume that  $y = 0$ . Let  $\mu > 0$  and set  $v_n = (p\mu)^{1/p} y_n$  for all  $n \in \mathbb{N}$ . Evidently, we have

$$\begin{aligned} v_n &\rightarrow 0 \text{ in } L^r(\Omega) \text{ (see (14))}, \\ \Rightarrow \int_{\Omega} F(z, v_n) dz &\rightarrow 0 \text{ as } n \rightarrow \infty. \end{aligned} \quad (19)$$

Consider the functional  $\psi : W^{1,\theta}(\Omega) \rightarrow \mathbb{R}$  defined by

$$\psi(u) = \frac{1}{p} \gamma_p(u) - \int_{\Omega} F(z, u) dz \text{ for all } u \in W^{1,\theta}(\Omega).$$

Clearly,  $\psi \in C^1(W^{1,\theta}(\Omega), \mathbb{R})$  and

$$\psi \leq \varphi. \quad (20)$$



We can find  $t_n \in [0, 1]$  such that

$$\psi(t_n u_n) = \min\{\psi(tu_n) : 0 \leq t \leq 1\} \text{ for all } n \in \mathbb{N}. \quad (21)$$

Because of (13), we can find  $n_0 \in \mathbb{N}$  such that

$$0 < \frac{(p\mu)^{1/p}}{\|u_n\|} \leq 1 \text{ for all } n \geq n_0. \quad (22)$$

Therefore

$$\begin{aligned} \psi(t_n u_n) &\geq \psi(v_n) \text{ (see (21), (22))} \\ &\geq \mu \gamma_p(y_n) - \int_{\Omega} F(z, v_n) dz \\ &\geq \mu c_1 - \int_{\Omega} F(z, v_n) dz \text{ (see (2) and recall that } \|y_n\| = 1) \\ &\geq \frac{\mu}{2} c_1 \text{ for all } n \geq n_1 \geq n_0 \text{ (see (19)).} \end{aligned}$$

Since  $\mu > 0$  is arbitrary, it follows that

$$\psi(t_n u_n) \rightarrow +\infty \text{ as } n \rightarrow \infty. \quad (23)$$

Note that

$$\psi(0) = 0 \text{ and } \psi(u_n) \leq c_4 \text{ for all } n \in \mathbb{N} \text{ (see (7), (20)).} \quad (24)$$

By (23) and (24), we can infer that

$$t_n \in (0, 1) \text{ for all } n \geq n_2. \quad (25)$$

From (21) and (25), we can see that for all  $n \geq n_2$  we have

$$\begin{aligned} 0 &= t_n \frac{d}{dt} \psi(tu_n)|_{t=t_n} \\ &= \langle \psi'(t_n u_n), t_n u_n \rangle \text{ (by the chain rule)} \\ &= \gamma_p(t_n u_n) - \int_{\Omega} f(z, t_n u_n)(t_n u_n) dz. \end{aligned} \quad (26)$$

It follows that

$$0 \leq t_n u_n^+ \leq u_n^+ \text{ and } -u_n^- \leq -t_n u_n^- \leq 0 \text{ for all } n \in \mathbb{N}$$

(recall that  $u_n^+ = \max\{u_n, 0\}$  and  $u_n^- = \max\{-u_n, 0\}$ ).

By hypothesis  $H_1$ (iii), we have

$$\begin{aligned} \eta(z, t_n u_n^+) &\leq \eta(z, u_n^+) + e(z) \text{ for a.a. } z \in \Omega \text{ and all } n \in \mathbb{N}, \\ \eta(z, -t_n u_n^-) &\leq \eta(z, -u_n^-) + e(z) \text{ for a.a. } z \in \Omega \text{ and all } n \in \mathbb{N}. \end{aligned}$$

From these two inequalities and since  $u_n = u_n^+ - u_n^-$ , we obtain

$$\begin{aligned} \eta(z, t_n u_n) &\leq \eta(z, u_n) + e(z) \text{ for a.a. } z \in \Omega \text{ and all } n \in \mathbb{N}, \\ \Rightarrow f(z, t_n u_n)(t_n u_n) &\leq \eta(z, u_n) + e(z) + pF(z, t_n u_n) \text{ for a.a. } z \in \Omega \text{ and all } n \in \mathbb{N}. \end{aligned} \quad (27)$$

We return to (26) and apply (27). Then

$$\begin{aligned} \gamma_p(t_n u_n) - p \int_{\Omega} F(z, t_n u_n) dz &\leq \int_{\Omega} \eta(z, u_n) dz + \|e\|_1 \text{ for all } n \in \mathbb{N}, \\ \Rightarrow p\psi(t_n u_n) &\leq c_8 \text{ for some } c_8 > 0 \text{ and all } n \in \mathbb{N} \text{ (see (12)).} \end{aligned} \quad (28)$$

We compare (23) and (28) and arrive at a contradiction.

This proves the Claim.

On account of this claim, we may assume that

$$u_n \xrightarrow{w} u \text{ in } W^{1,\theta}(\Omega) \text{ and } u_n \rightarrow u \text{ in } L^r(\Omega) \text{ and in } L^p(\partial\Omega) \quad (29)$$

(see hypotheses  $H_0$ ).

From (29), we have

$$Du_n \rightarrow Du \text{ in } L^p_{a_0}(\Omega, \mathbb{R}^N) \quad \text{and} \quad Du_n(z) \rightarrow Du(z) \text{ a.a. } z \in \Omega. \quad (30)$$

In (9), we choose  $h = u_n - u \in W^{1,\theta}(\Omega)$ , pass to the limit as  $n \rightarrow \infty$  and use (30) and the monotonicity of  $A_p(\cdot)^{a_0}$ . We obtain

$$\begin{aligned} & \limsup_{n \rightarrow \infty} \langle A_p^{a_0}(u_n), u_n - u \rangle \leq 0, \\ \Rightarrow & \limsup_{n \rightarrow \infty} \|Du_n\|_{L^p_{a_0}(\Omega, \mathbb{R}^N)} \leq \|Du\|_{L^p_{a_0}(\Omega, \mathbb{R}^N)}. \end{aligned}$$

On the other hand, from (30), we have

$$\liminf_{n \rightarrow \infty} \|Du_n\|_{L^p_{a_0}(\Omega, \mathbb{R}^N)} \geq \|Du\|_{L^p_{a_0}(\Omega, \mathbb{R}^N)}.$$

Therefore, we conclude that

$$\|Du_n\|_{L^p_{a_0}(\Omega, \mathbb{R}^N)} \rightarrow \|Du\|_{L^p_{a_0}(\Omega, \mathbb{R}^N)}. \quad (31)$$

The space  $L^p_{a_0}(\Omega, \mathbb{R}^N)$  is uniformly convex, hence it has the Kadec–Klee property (see s [18, Remark 2.7.30, p. 127]). So, it follows from (30) and (31) that

$$\begin{aligned} & Du_n \rightarrow Du \text{ in } L^p_{a_0}(\Omega, \mathbb{R}^N), \\ \Rightarrow & Du_n \rightarrow Du \text{ in } L^q(\Omega, \mathbb{R}^N) \text{ since } L^p_{a_0}(\Omega, \mathbb{R}^N) \hookrightarrow L^q(\Omega, \mathbb{R}^N) \text{ continuously,} \\ \Rightarrow & \rho_\theta(|Du_n - Du|) \rightarrow 0 \text{ (see Proposition 2),} \\ \Rightarrow & \|u_n - u\| \rightarrow 0 \text{ (see (29) and Proposition 2),} \\ \Rightarrow & \varphi \text{ satisfies the C-condition.} \end{aligned}$$

The proof is now complete.  $\square$

**PROPOSITION 5.** *If hypotheses  $H_0, H_1$  hold, then the functional  $\varphi(\cdot)$  has a local  $(1,1)$ -linking at 0.*

*Proof.* Since the critical points of  $\varphi$  are solutions of problem (1), we may assume that  $K_\varphi$  is finite or otherwise we already have infinitely many nontrivial solutions of (1) and so we are done.

Choose  $\rho \in (0, 1)$  so small that  $K_\varphi \cap \bar{B}_\rho = \{0\}$  (here,  $B_\rho = \{u \in W^{1,\theta}(\Omega) : \|u\| < \rho\}$ ). Let  $V = \mathbb{R}$  and let  $\delta > 0$  as postulated by hypothesis  $H_1$ (iv). Recall that on a finite-dimensional normed space all norms are equivalent. So, by taking  $\rho \in (0, 1)$  even smaller as necessary, we have

$$\|u\| \leq \rho \Rightarrow |u| \leq \delta \text{ for all } u \in V = \mathbb{R}. \quad (32)$$

Then for  $u \in V \cap \bar{B}_\rho$ , we have

$$\begin{aligned} \varphi(u) & \leq \frac{1}{p} \gamma_p(u) - \frac{|u|^q}{q} \int_\Omega \theta(z) dz \text{ (see (32) and Hypothesis } H_1(iv)) \\ & = \frac{|u|^p}{p} \left( \int_\Omega \xi(z) dz + \int_{\partial\Omega} \beta(z) d\sigma \right) - \frac{|u|^q}{q} \int_\Omega \theta(z) dz \\ & \leq c_9 \|u\|^p - c_{10} \|u\|^q \text{ for some } c_9, c_{10} > 0 \text{ (see hypotheses } H_0 \text{ and } H_1(iv)). \end{aligned}$$

Since  $q < p$ , choosing  $\rho \in (0, 1)$  small, we conclude that

$$\varphi|_{V \cap \bar{B}_\rho} \leq 0. \quad (33)$$

Let

$$D = \{u \in W^{1,\theta}(\Omega) : \|Du\|_q^q \geq \hat{\lambda}_2(q) \|u\|_q^q\}.$$

For all  $u \in D$ , we have

$$\begin{aligned} \varphi(u) &= \frac{1}{p} \gamma_p(u) + \frac{1}{q} \|Du\|_q^q - \int_{\{|u| \leq \delta\}} F(z, u) dz - \int_{\{|u| > \delta\}} F(z, u) dz \\ &\geq \frac{1}{p} \gamma_p(u) + \frac{1}{q} \left( \|Du\|_q^q - \int_{\Omega} \hat{\lambda} |u|^q dz \right) - \int_{\Omega} F(z, u) dz \\ &\quad \text{(see hypotheses } H_1(iv)) \\ &\geq \frac{1}{p} \gamma_p(u) + \frac{1}{q} \int_{\Omega} (\hat{\lambda}_2(q) - \hat{\lambda}) |u|^q dz - c_{11} \|u\|^r \\ &\quad \text{for some } c_{11} > 0 \text{ (since } u \in D \text{ and see hypothesis } H_1(iv)) \\ &\geq \frac{c_{11}}{p} \|u\|^p - c_{11} \|u\|^r \text{ (see (22)).} \end{aligned}$$

Since  $p < r$ , for sufficiently small  $\rho \in (0, 1)$ , we have

$$\varphi|_{D \cap \bar{B}_\rho \setminus \{0\}} > 0. \quad (34)$$

Let  $U = \bar{B}_\rho$ ,  $E_0 = V \cap \partial B_\rho$ ,  $E = V \cap \bar{B}_\rho$  and  $D$  as above. We have  $0 \notin E_0$ ,  $E_0 \subseteq E \subseteq U = \bar{B}_\rho$  and  $E_0 \cap D = \emptyset$  (see Definition 3).

Let  $Y$  be the topological complement of  $V$ . We have that

$$W^{1,\theta}(\Omega) = V \oplus Y \text{ (see [18, pp. 73, 74]).}$$

So, every  $u \in W^{1,\theta}(\Omega)$  can be written in a unique way as

$$u = v + y \text{ with } v \in V, y \in Y.$$

We consider the deformation  $h : [0, 1] \times (W^{1,\theta}(\Omega) \setminus D) \rightarrow W^{1,\theta}(\Omega) \setminus D$  defined by

$$h(t, u) = (1-t)u + t\rho \frac{v}{\|v\|} \text{ for all } t \in [0, 1], u \in W^{1,\theta}(\Omega) \setminus D.$$

We have

$$h(0, u) = u \text{ and } h(1, u) = \rho \frac{v}{\|v\|} \in V \cap \partial B_\rho = E_0.$$

It follows that  $E_0$  is a deformation retract of  $W^{1,\theta}(\Omega) \setminus D$  (see [17, Definition 5.3.10, p. 385]). Hence,

$$i_* : H_0(E_0) \rightarrow H_0(W^{1,\theta}(\Omega) \setminus \{0\})$$

is an isomorphism (see [8, Theorem 11.5, p.30] and [18, Remark 6.1.6, p. 460]).

The set  $E = V \cap B_\rho$  is contractible (it is an interval). Hence  $H_0(E, E_0) = 0$  (see [8, Theorem 11.5, p. 30]). Therefore, if  $j_* : H_0(E_0) \rightarrow H_0(E)$ , then  $\dim \operatorname{im} j_* = 1$  (see [8, Remark 6.1.26, p. 468]). So, finally we have

$$\dim \operatorname{im} i_* - \dim \operatorname{im} j_* = 2 - 1 = 1,$$

$$\Rightarrow \varphi(\cdot) \text{ has a local (1,1)-linking at 0, see Definition 3.}$$

The proof is now complete. □

By Proposition 5 and Theorem 6.6.17 of Papageorgiou, Rădulescu and Repovš [18, p. 538], we have

$$\dim C_1(\varphi, 0) \geq 1. \quad (35)$$

Moreover, Proposition 3.9 of Papageorgiou, Rădulescu and Repovš [17] leads to the following result.

**PROPOSITION 6.** *If hypotheses  $H_0, H_1$  hold, then  $C_k(\varphi, \infty) = 0$  for all  $k \in \mathbb{N}_0$ .*

We are now ready for the existence theorem concerning the superlinear case.

**THEOREM 7.** *If hypotheses  $H_0, H_1$  hold, then problem (1) has a nontrivial solution  $u_0 \in W^{1,\theta}(\Omega) \cap L^\infty(\Omega)$ .*

*Proof.* On account of (35) and Proposition 6, we can apply Proposition 6.2.42 of Papageorgiou, Rădulescu and Repovš [18, p. 499]. So, we can find  $u_0 \in W^{1,\theta}(\Omega)$  such that

$$\begin{aligned} u_0 &\in K_\varphi \setminus \{0\}, \\ \Rightarrow u_0 &\in W^{1,\theta}(\Omega) \cap L^\infty(\Omega) \text{ is a solution of problem (1), see [18, Section 3.2].} \end{aligned}$$

The proof is now complete.  $\square$

#### 4. The resonant case

In this section, we are concerned with the resonant case ( $p$ -linear case). Our hypotheses allow resonance at  $\pm\infty$  with respect to the principal eigenvalue  $\hat{\lambda}_1(p) > 0$ .

The new conditions on the reaction  $f(z, x)$  are the following.

$H_2$ :  $f : \Omega \times \mathbb{R} \rightarrow \mathbb{R}$  is a Carathéodory function such that  $f(z, 0) = 0$  for a.a.  $z \in \Omega$  and

- (i)  $|f(z, x)| \leq \hat{a}(z)(1 + |x|^{r-1})$  for a.a.  $z \in \Omega$ , all  $x \in \mathbb{R}$ , with  $\hat{a} \in L^\infty(\Omega)$ ,  $p < r < q^*$ ;
- (ii) if  $F(z, x) = \int_0^x f(z, s)ds$ , then  $\lim_{x \rightarrow \pm\infty} pF(z, x)/|x|^p \leq \hat{\lambda}_1(p)$  uniformly for a.a.  $z \in \Omega$ ;
- (iii) we have

$$f(z, x)x - pF(z, x) \rightarrow +\infty \text{ uniformly for a.a. } z \in \Omega, \text{ as } x \rightarrow \pm\infty;$$

- (iv) there exist  $\delta > 0$ ,  $\theta \in L^\infty(\Omega)$  and  $\hat{\lambda} > 0$  such that

$$0 \leq \theta(z) \text{ for a.a. } z \in \Omega, \theta \not\equiv 0, \hat{\lambda} \leq \hat{\lambda}_2(q),$$

$$\theta(z)|x|^q \leq qF(z, x) \leq \hat{\lambda}|x|^q \text{ for a.a. } z \in \Omega \text{ and all } |x| \leq \delta.$$

**REMARK 4.** Hypothesis  $H_2$ (ii) implies that at  $\pm\infty$ , we can have resonance with respect to the principal eigenvalue of the operator  $u \mapsto -\operatorname{div}(a_0(z)|Du|^{p-2}Du)$  with Robin boundary condition.

**PROPOSITION 8.** *If hypotheses  $H_0, H_2$  hold, then the energy functional  $\varphi(\cdot)$  is coercive.*

*Proof.* We have

$$\begin{aligned} \frac{d}{dx} \left( \frac{F(z, x)}{|x|^p} \right) &= \frac{f(z, x)|x|^p - p|x|^{p-2}xF(z, x)}{|x|^{2p}} \\ &= \frac{|x|^{p-2}x[f(z, x)x - pF(z, x)]}{|x|^{2p}}. \end{aligned}$$

On account of hypothesis  $H_2(iii)$ , given any  $\gamma > 0$ , we can find  $M_1 = M_1(\gamma) > 0$  such that

$$f(z, x)x - pF(z, x) \geq \gamma \text{ for a.a. } z \in \Omega \text{ and all } |x| \geq M_1.$$

Hence, we obtain

$$\frac{d}{dx} \left( \frac{F(z, x)}{|x|^p} \right) \begin{cases} \geq \frac{\gamma}{x^{p+1}} & \text{if } x \geq M_1 \\ \leq -\frac{\gamma}{|x|^{p+1}} & \text{if } x \leq -M_1. \end{cases}$$

Integrating, we obtain

$$\frac{F(z, x)}{|x|^p} - \frac{F(z, u)}{|u|^p} \geq -\frac{\gamma}{p} \left( \frac{1}{|x|^p} - \frac{1}{|u|^p} \right) \text{ for a.a. } z \in \Omega \text{ and all } |x| \geq |u| \geq M_1. \quad (36)$$

On account of hypothesis  $H_2(ii)$ , given  $\varepsilon > 0$ , we can find  $M_2 = M_2(\varepsilon) > 0$  such that

$$F(z, x) \leq \frac{1}{p} (\hat{\lambda}_1(p) + \varepsilon) |x|^p \text{ for a.a. } z \in \Omega \text{ and all } |x| \geq M_2.$$

Using this inequality in (36) and letting  $|x| \rightarrow \infty$ , we obtain

$$\begin{aligned} \frac{1}{p} (\hat{\lambda}_1(p) + \varepsilon) - \frac{F(z, u)}{|u|^p} &\geq \frac{\gamma}{p} \frac{1}{|u|^p} \text{ for a.a. } z \in \Omega \text{ and all } |u| \geq M = \max\{M_1, M_2\}, \\ \Rightarrow (\hat{\lambda}_1(p) + \varepsilon) |u|^p - pF(z, u) &\geq \gamma \text{ for a.a. } z \in \Omega \text{ and all } |u| \geq M. \end{aligned} \quad (37)$$

Arguing by contradiction, suppose that  $\varphi(\cdot)$  is not coercive. Then we can find  $\{u_n\}_{n \geq 1} \subseteq W^{1,\theta}(\Omega)$  such that

$$\|u_n\| \rightarrow \infty \text{ and } \varphi(u_n) \leq M_0 \text{ for some } M_0 > 0 \text{ and all } n \in \mathbb{N}. \quad (38)$$

Let  $y_n = u_n / \|u_n\|$  for all  $n \in \mathbb{N}$ . Then  $\|y_n\| = 1$ , hence we may assume that

$$y_n \xrightarrow{w} y \text{ in } W^{1,\theta}(\Omega) \text{ and } y_n \rightarrow y \text{ in } L^p(\Omega) \text{ and in } L^p(\partial\Omega). \quad (39)$$

From (38), we have

$$\begin{aligned} \frac{1}{p} \gamma_p(y_n) + \frac{1}{q} \frac{1}{\|u_n\|^{p-q}} \int_{\Omega} |Dy_n|^q dz - \int_{\Omega} \frac{F(z, u_n)}{\|u_n\|^p} dz &\leq \frac{M_0}{\|u_n\|^p}, \\ \Rightarrow \gamma_p(y_n) + \frac{p}{q} \frac{1}{\|u_n\|^{p-q}} \int_{\Omega} |Dy_n|^q dz &\leq \tau_n + (\hat{\lambda}_1(p) + \varepsilon) \|y_n\|_p^p \text{ with } \tau_n \rightarrow 0, \text{ see (37),} \\ \Rightarrow \gamma_p(y) &\leq (\hat{\lambda}_1(p) + \varepsilon) \|y\|^p \text{ (see (39)),} \\ \Rightarrow \gamma_p(y) &\leq \hat{\lambda}_1(p) \|y\|_p^p \text{ (since } \varepsilon > 0 \text{ is arbitrary),} \\ \Rightarrow y &= \mu \hat{u}_1(p) \text{ for some } \mu \in \mathbb{R} \text{ (see (4)).} \end{aligned}$$

If  $\mu = 0$ , then  $y = 0$  and so  $\gamma_p(y_n) \rightarrow 0$ . Hence, as in the proof of Proposition 4, we have  $y_n \rightarrow 0$  in  $W^{1,\theta}(\Omega)$ , contradicting the fact that  $\|y_n\| = 1$  for all  $n \in \mathbb{N}$ .

So,  $\mu \neq 0$  and since  $\hat{u}_1(p)(z) > 0$  for a.a.  $z \in \Omega$ , we have  $|u_n(z)| \rightarrow +\infty$  for a.a.  $z \in \Omega$ . By (38) and (4), we have

$$\int_{\Omega} \left[ \frac{1}{p} \hat{\lambda}_1(p) |u_n|^p - F(z, u_n) \right] dz \leq M_0 \text{ for all } n \in \mathbb{N}. \quad (40)$$

However, from (37) and since  $\gamma > 0$  is arbitrary, we can infer that

$$\begin{aligned} \frac{1}{p} \hat{\lambda}_1(p) |u_n|^p - F(z, u_n) &\rightarrow +\infty \text{ for a.a. } z \in \Omega, \text{ as } n \rightarrow \infty, \\ \Rightarrow \int_{\Omega} \left[ \frac{1}{p} \hat{\lambda}_1(p) |u_n|^p - F(z, u_n) \right] dz &\rightarrow +\infty \text{ by Fatou's lemma.} \end{aligned} \quad (41)$$

Comparing (40) and (41), we arrive at a contradiction. Therefore, we can conclude that  $\varphi(\cdot)$  is coercive.  $\square$

Using Proposition 8 and Proposition 5.1.15 of Papageorgiou, Rădulescu and Repovš [18, p. 369], we obtain the following result.

**COROLLARY 9.** *If hypotheses  $H_0$ ,  $H_2$  hold, then the energy functional  $\varphi(\cdot)$  is bounded below and satisfies the C-condition.*

Now we are ready for the multiplicity theorem in the resonant case.

**THEOREM 10.** *If hypotheses  $H_0$ ,  $H_2$  hold, then problem (1) has at least two nontrivial solutions  $u_0, \hat{u} \in W^{1,\theta}(\Omega) \cap L^\infty(\Omega)$ .*

*Proof.* By Proposition 5, we know that  $\varphi(\cdot)$  has a local (1,1)-linking at the origin. Note that for that result mattered only the behavior of  $f(z, \cdot)$  near zero and this is common in hypotheses  $H_1$  and  $H_2$ . Also, we know that  $\varphi(\cdot)$  is sequentially weakly lower semicontinuous. This fact in conjunction with Proposition 8, permit the use of the Weierstrass–Tonelli theorem. So, we can find  $u_0 \in W^{1,\theta}(\Omega)$  such that

$$\varphi(u_0) = \min\{\varphi(u) : u \in W^{1,\theta}(\Omega)\}. \quad (42)$$

On account of hypothesis  $H_2(iv)$  and since  $q < p$ , we have

$$\begin{aligned} \varphi(u_0) &< 0 = \varphi(0), \\ \Rightarrow u_0 &\neq 0 \text{ and } u_0 \in K_\varphi, \\ \Rightarrow u_0 &\in K_\varphi \cap L^\infty(\Omega) \text{ is a nontrivial solution of (1).} \end{aligned}$$

Moreover, by Corollary 6.7.10 of Papageorgiou, Rădulescu and Repovš [18, p. 552], we can find  $\hat{u} \in K_\varphi$ ,  $\hat{u} \notin \{0, u_0\}$ . Then  $\hat{u} \in W^{1,\theta}(\Omega) \cap L^\infty(\Omega)$  is the second nontrivial solution of problem (1).  $\square$

*Acknowledgements.* The authors wish to thank a knowledgeable referee for his/her corrections and remarks.

### References

1. A. BAHROUNI, V. D. RĂDULESCU and D. D. REPOVŠ, ‘A weighted anisotropic variant of the Caffarelli–Kohn–Nirenberg inequality and applications’, *Nonlinearity* 31 (2018) 1516–1534.
2. A. BAHROUNI, V. D. RĂDULESCU and D. D. REPOVŠ, ‘Double phase transonic flow problems with variable growth: nonlinear patterns and stationary waves’, *Nonlinearity* 32 (2019) 2481–2495.
3. P. BARONI, M. COLOMBO and G. MINGIONE, ‘Harnack inequalities for double phase functionals’, *Nonlinear Anal.* 121 (2015) 206–222.
4. M. CENCELJ, V. D. RĂDULESCU and D. D. REPOVŠ, ‘Double phase problems with variable growth’, *Nonlinear Anal.* 177 (2018) 270–287.
5. F. COLASUONNO and M. SQUASSINA, ‘Eigenvalues for double phase variational integrals’, *Ann. Mat. Pura Appl.* (4) 195 (2016) 1917–1959.
6. M. COLOMBO and G. MINGIONE, ‘Bounded minimisers of double phase variational integrals’, *Arch. Ration. Mech. Anal.* 218 (2015) 219–273.
7. M. COLOMBO and G. MINGIONE, ‘Regularity for double phase variational problems’, *Arch. Ration. Mech. Anal.* 215 (2015) 443–496.
8. S. EILENBERG and N. STEENROD, *Foundations of algebraic topology* (Princeton University Press, Princeton, NJ, 1952).
9. L. GASINSKI and N. S. PAPAGEORGIOU, *Nonlinear analysis* (Chapman & Hall/CRC, Boca Raton, FL, 2006).
10. L. GASINSKI and N. S. PAPAGEORGIOU, ‘Positive solutions for the Robin  $p$ -Laplacian problem with competing nonlinearities’, *Adv. Calc. Var.* 12 (2019) 31–56.
11. G. LI and C. YANG, ‘The existence of a nontrivial solution to a nonlinear elliptic boundary value problem of  $p$ -Laplacian type without the Ambrosetti–Rabinowitz condition’, *Nonlinear Anal.* 72 (2010) 4602–4613.
12. J. LIU, ‘The Morse index of a saddle point’, *J. Systems Sci. Math. Sci.* 2 (1989) 32–39.

13. W. LIU and G. DAI, 'Existence and multiplicity results for double phase problem', *J. Differential Equations* 265 (2018) 4311–4334.
14. P. MARCELLINI, 'Regularity and existence of solutions of elliptic equations with  $p, q$ -growth conditions', *J. Differential Equations* 90 (1991) 1–30.
15. D. MUGNAI and N. S. PAPAGEORGIOU, 'Resonant nonlinear Neumann problems with indefinite weight', *Ann. Sc. Norm. Super. Pisa Cl. Sci.* 11 (2012) 729–788.
16. J. MUSIELAK, *Orlicz Spaces and modular spaces*, Lecture Notes in Mathematics 1034 (Springer, Berlin, 1983).
17. N. S. PAPAGEORGIOU and V. D. RĂDULESCU, 'Nonlinear nonhomogeneous Robin problems with superlinear reaction term', *Adv. Nonlinear Stud.* 16 (2016) 737–764.
18. N. S. PAPAGEORGIOU, V. D. RĂDULESCU and D. D. REPOVŠ, *Nonlinear analysis—theory and methods*, Springer Monographs in Mathematics (Springer Nature, Cham, 2019).
19. N. S. PAPAGEORGIOU, V. D. RĂDULESCU and D. D. REPOVŠ, 'Ground state and nodal solutions for a class of double phase problems', *Z. Angew. Math. Phys.* 71 (2020) no. 1, art. 15.
20. N. S. PAPAGEORGIOU, C. VETRO and F. VETRO, 'Multiple solutions for parametric double phase Dirichlet problems', *Commun. Contemp. Math.*, to appear, <https://doi.org/10.1142/S0219199720500066>.
21. K. PERERA, 'Homological local linking', *Abstr. Appl. Anal.* 3 (1998) 181–189.
22. V. V. ZHIKOV, 'Averaging of functionals of the calculus of variations and elasticity theory (Russian)', *Izv. Akad. Nauk SSSR Ser. Mat.* 50 (1986) 675–710, 877.

Nikolaos S. Papageorgiou  
 Department of Mathematics  
 National Technical University  
 Zografou Campus  
 Athens 15780  
 Greece

and

Institute of Mathematics, Physics and  
 Mechanics  
 Ljubljana 1000  
 Slovenia

[npapg@math.ntua.gr](mailto:npapg@math.ntua.gr)

Vicențiu D. Rădulescu  
 Faculty of Applied Mathematics  
 AGH University of Science and Technology  
 al. Mickiewicza 30  
 Kraków 30-059  
 Poland

Department of Mathematics  
 University of Craiova  
 Craiova 200585  
 Romania

and

Institute of Mathematics, Physics and  
 Mechanics  
 Ljubljana 1000  
 Slovenia

[radulescu@inf.ucv.ro](mailto:radulescu@inf.ucv.ro)

Dušan D. Repovš  
 Faculty of Education and Faculty of  
 Mathematics and Physics  
 University of Ljubljana  
 Ljubljana 1000  
 Slovenia

and

Institute of Mathematics, Physics and  
 Mechanics  
 Ljubljana 1000  
 Slovenia

[dusan.repovs@guest.arnes.si](mailto:dusan.repovs@guest.arnes.si)